**Application Form for the issuance of the Energy Derivatives Trader Certificate (EDTC) - HEnEx Derivatives Market**

Date:Click or tap here to enter text.

To: **EnEx Member Support**

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|  |  |
| --- | --- |
| **Applicant’s information**  |  |
| Applicant’s name | Click or tap here to enter text. |
| Applicant’s contact details (name/tel./email): | Click or tap here to enter text. |
| Member’s name & ID | Click or tap here to enter text. |

I hereby request to be approved as a Certified Trader of HEnEx’s Financial Energy Market (Derivatives Market) and for this purpose I attach the following documents:

1. ***For Candidate Certified Traders who have participated in HEnEx’s examinations (Decision 3, Par. 2.3)***

|  |  |  |
| --- | --- | --- |
| (a) | certified copy of certificate (a2) or equivalent[[1]](#footnote-1) or | [ ]  |
| (b)2 | 1. Certificate of professional competence in accordance with case d(i) of par. 2.3(1) of Decision 3 and
 | [ ]  |
|  | 1. Joint statement of the applicant with the Member in accordance with case d(ii), par. 2.3, Article 2 of Decision 3 (see **Table 1** below)
 | [ ]  |
| (c) | I have successfully participated in HEnEx’s exams for EDTC on:  | Click or tap here to enter text. |
| (d) | proof of deposit of the relevant fee | [ ]  |
| (e) | copy of personal identity or passport | [ ]  |
| (f) | I hereby confirm that I have not been convicted or fined in accordance with the provisions of par. 2 of article 2.3 of Decision 3 | [ ]  |
|  |  |  |
| ***2)*** | ***For Candidate Certified Traders who meet the conditions for exemption from HEnEx’s examinations (Decision 3, Par. 3.5)*** |  |
| (a) | certified copy of certificate (a2) or equivalent1 or | [ ]  |
| (b)[[2]](#footnote-2) | 1. Certificate of professional competence in accordance with case d(i) of par. 2.3(1) of Decision 3 and
 | [ ]  |
|  | 1. Joint statement of the applicant with the Member in accordance with case d(ii), par. 2.3, Article 2 of Decision 3 (see **Table 1** below)
 | [ ]  |
| (c) | Has either of the following:  |  |
|  | 1. Certificate equivalent to EDTC in accordance with case (a) of par. 3.5(1), Article 3 of Decision 3 (please state which) or
 | Click or tap here to enter text. |
|  | 1. Proof of working experience with main duties relative to that of the EDTC in accordance with case (b) of par. 3.5(1), Article 3 of Decision 3
 | [ ]  |
| (d) | I have attended HEnEx’s special seminar on: | Click or tap here to enter text. |
| (e) | Joint statement with the Member, as foreseen in par. 3.5(3), Article 3 of Decision 3 (see **Table 2** below) | [ ]  |
| (f) | proof of deposit of the relevant fee | [ ]  |
| (g) | copy of personal identity or passport | [ ]  |
| (h) | I hereby confirm that I have not been convicted or fined in accordance with the provisions of par. 2 of article 2.3 of Decision 3 | [ ]  |

**Table 1**

We hereby confirm that the candidate Certified Trader, Click or tap here to enter text., will perform her/his duties as an employee of the Company under the name Click or tap here to enter text., Member of HEnEx’s Derivatives market, and that the candidate fulfills personal reliability criteria for the performance of her/his duties under the law that regulates related issues for the company.

For the Company\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Name of Legal Representative(s), Position, Signature, Company Stamp)

For the Applicant \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Name of Applicant, Position, Signature)

**Table 2**

The candidate Certified Trader Click or tap here to enter text. and the Company under the name Click or tap here to enter text., Member of HEnEx’s Derivatives market, hereby confirm that the applicant is aware of the Rulebooks, relevant Decisions and Systems for trading, clearing, risk management and settlement in the Derivatives Market of HEnEx, and is fully aware of the obligations and consequences arising from the exercise of her/his duties as a Certified Energy Derivatives Trader.

For the Company \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Name of Legal Representative(s), Position, Signature, Company Stamp)

For the applicant \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Name of Applicant, Position, Signature)

For the Company \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Name of Legal Representative(s), Position, Signature, Company Stamp)

For the applicant \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

(Name of Applicant, Position, Signature)

1. In case of an applicant who is an employee of an Investment Firm or a credit institution (Decision 18/809/21.2.2018 of HCMC or Joint Decision 17/818/15.5.2018 of HCMC & Bank of Greece)) [↑](#footnote-ref-1)
2. In case of an applicant who is an employee of an Investment Firm or a credit institution located I another EU or third country state [↑](#footnote-ref-2)