#### Market Maker Application Form

|  |
| --- |
| Date:Click or tap here to enter text. |
| To: **EnEx Admission Service**  110, Athinon Ave. 104 42 Athens, GreeceTel: (+30) 210 33 66 548  E-mail: admission@enexgroup.gr  |
| **General Info** |
| Member’s trade name | :       |
| Contact Person | (name) | :       |
|  | (phone number) | :       |
|  |

|  |
| --- |
| **Derivatives** |
|

|  |  |  |
| --- | --- | --- |
|  | Base | Peak |
| Months | [ ]  | [ ]  |
| Quarters | [ ]  | [ ]  |
| Years | [ ]  | [ ]  |

|  |
| --- |
| Describe in detail how your Market Making Service by the relevant department is efficiently segregated from the other departments of your company. |
| **Outsourcing**  |
| We have signed an outsourcing contract for Market Making: Choose an item.*If yes, please fill in the following:* |
| Company Trade Name1[[1]](#footnote-1):Click or tap here to enter text. |
| ΤΙΝ1:Click or tap here to enter text. |
| Describe thoroughly, which functions are being outsourced and the way in which they comply with the Rulebook2[[2]](#footnote-2) |

**Responsible persons for Derivatives Market Making issues**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |
| Position:  | Choose an item. | Name | :       | Tel. | :       |
| Action: | Choose an item. | Email | :       | Mob. | :       |

**Supporting Documents** |
| Internal Regulation of Market Making | [ ]  |
| Organizational chart | [ ]  |
| If you have signed an outsourcing contract for Market Making: |
| 1. Outsourcing Policy for the Market Making Operation
 | [ ]  |
| 1. Risk Management Policy on outsourcing
 | [ ]  |
| **Assignment of Clearing *(for Non-Clearing Members only)***  |
| Assignment of clearing procedure to the GCM: Click or tap here to enter text. |

**Notes**

|  |
| --- |
| Click or tap here to enter text. |

For the Company Click or tap here to enter text.
(Name of Legal Representative, Position, Signature)



 (Company Stamp)

1. 1*Of the company you have signed the Market Making Outsourcing Contract with.* [↑](#footnote-ref-1)
2. 2 Please provide your company’s outsourcing policy and risk management policy, according to case (2) par.1.2 of Decision 2: “Procedure for acquiring the Market Maker capacity and terms of market making in the Financial Energy Market (Derivatives Market) of HEnEx”. [↑](#footnote-ref-2)