#### Market Maker Application Form

|  |  |  |
| --- | --- | --- |
| Date:Click or tap here to enter text. | | |
| To: **EnEx Admission Service**  110, Athinon Ave. 104 42 Athens, Greece  Tel: (+30) 210 33 66 548  E-mail: [admission@enexgroup.gr](mailto:admission@enexgroup.gr) | | |
| **General Info** | | |
| Member’s trade name | | : |
| Contact Person | (name) | : |
|  | (phone number) | : |
|  | | |

|  |  |
| --- | --- |
| **Derivatives** | |
| |  |  |  | | --- | --- | --- | |  | Base | Peak | | Months |  |  | | Quarters |  |  | | Years |  |  |  |  | | --- | | Describe in detail how your Market Making Service by the relevant department is efficiently segregated from the other departments of your company. | | **Outsourcing** | | We have signed an outsourcing contract for Market Making: Choose an item.  *If yes, please fill in the following:* | | Company Trade Name1[[1]](#footnote-1):Click or tap here to enter text. | | ΤΙΝ1:Click or tap here to enter text. | | Describe thoroughly, which functions are being outsourced and the way in which they comply with the Rulebook2[[2]](#footnote-2) |   **Responsible persons for Derivatives Market Making issues**   |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | | Position: | Choose an item. | Name | : | Tel. | : | | Action: | Choose an item. | Email | : | Mob. | : | | Position: | Choose an item. | Name | : | Tel. | : | | Action: | Choose an item. | Email | : | Mob. | : |   **Supporting Documents** | |
| Internal Regulation of Market Making |  |
| Organizational chart |  |
| If you have signed an outsourcing contract for Market Making: | |
| 1. Outsourcing Policy for the Market Making Operation |  |
| 1. Risk Management Policy on outsourcing |  |
| **Assignment of Clearing *(for Non-Clearing Members only)*** | |
| Assignment of clearing procedure to the GCM: Click or tap here to enter text. | |

**Notes**

|  |
| --- |
| Click or tap here to enter text. |

For the Company Click or tap here to enter text.  
(Name of Legal Representative, Position, Signature)

A picture containing white, design

Description automatically generated

(Company Stamp)

1. 1*Of the company you have signed the Market Making Outsourcing Contract with.* [↑](#footnote-ref-1)
2. 2 Please provide your company’s outsourcing policy and risk management policy, according to case (2) par.1.2 of Decision 2: “Procedure for acquiring the Market Maker capacity and terms of market making in the Financial Energy Market (Derivatives Market) of HEnEx”. [↑](#footnote-ref-2)