



## DECISION 7<sup>1</sup>

### **“Emergency trading procedures in the Gas Trading Platform of HEnEx”**

(as approved by HEnEx's BoD at its meeting with number 65/02.02.2022)

#### **THE HELLENIC ENERGY EXCHANGE S.A.**

Having taken into consideration the provisions of subsections 4.1.2 par. 2, 4.2.1 par. 2 and 4.4.6.1 par. 4 of the Rulebook for the Natural Gas Trading Rulebook of the Energy Exchange (hereinafter the “Rulebook”) and the need to specify a) the mechanisms and the alternative trading procedures during malfunctions of HEnEx’ Trading System or other emergencies for the purpose of protecting the proper functioning of the market and b) the Participants’ trading support procedure:

#### **DECIDES AS FOLLOWS**

#### **Article 1. Actions in case of failure to trade through the Trading System or other emergencies**

1. In the event of network malfunctions, systemic malfunctions in the Trading System, communication malfunctions with the Clearing System of EnExClear or malfunctions in the peripheral systems, HEnEx shall ensure that a) the malfunction is detected and the crisis management mechanism is activated; b) the remedial actions are taken; and c) the malfunction remedial actions are listed, by also notifying its Participants by any appropriate means depending on the malfunction.
2. In the event of Trading System malfunctions or other emergencies that make it impossible to trade through it, HEnEx shall inform its Participants by all appropriate means. If technically possible, HEnEx shall continue the trading procedure on an alternative basis through a logistical infrastructure accessible to Participants. To this end, HEnEx may schedule and conduct virtual trading sessions using its alternative systems as well as the participation of the Participants. HEnEx shall immediately through its competent body inform its Participants of the precise date and the detailed instructions for carrying out the above testing. In the event that the trading procedure is not possible at all, HEnEx shall inform its Participants by all appropriate means.

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<sup>1</sup> Unofficial translation from the Greek language (Ref. HenEx: 175/03.02.2022), as of 03/02/2022. In case of any discrepancy between the Greek and the English version, the Greek version prevails.

## Article 2. Procedure for the support of Participants' transactions

1. In case of technical malfunction of the Participants' systems or some other emergency, HEnEx provides its Participants with access to the Trading System using the following alternatives (trading support procedure):
  - a) by direct use of the installations (Shared Access Points-SAP), as provided by HEnEx for this purpose or
  - b) through the duly authorized bodies of HEnEx.
2. In the case (a) of par. 1 of the current Article, the applicant shall inform by any appropriate means (Electronic Claims Management System, telephone, email) about the activation of the SAP. The applicant shall state the reasons requesting the use of the SAP and declares its certified traders / executives who will make use of the SAP and the number of terminals that wishes to use. In the event of multiple SAP activation requests by the Participants at the same time, HEnEx:
  - a) may make available to each Participant only one terminal in order for all Participants to be better served.
  - b) does not guarantee the availability of adequate number of terminals for all Participants.

Activation of a SAP for a Participant entails prohibition of using the corresponding applications from the Participant's systems. The right to use the SAP expires automatically at the end of the session as to which it was made available, while any extension shall require again the same actions by the Participant.
3. In the case (b) of par. 1 of the current Article, the responsible trader declared in the Electronic Database of the Participants, requests by means of recorded line, email or the Electronic Claims Management System the registration of the order, providing all mandatory fields of the order to the authorized bodies of HEnEx, based on the relevant procedure posted on HEnEx's website.

## Article 3. Validity

This decision takes effect as from 04/02/2022.

The herein decision shall be uploaded to the website of HEnEx [www.enexgroup.gr](http://www.enexgroup.gr).