



DECISION 4¹

“Immediate imposition of measures against Members of the Financial Energy Market (Derivatives Market) of HEnEx”

THE HELLENIC ENERGY EXCHANGE S.A.

Having regard to the provisions of par. 4.2.4 of the Financial Energy Market (Derivatives Market) Rulebook of HEnEx (here-in-after the “Rulebook”):

HAS DECIDED AS FOLLOWS

1. Immediate enforcement of measures against a Member

In the event of a breach by a Member of its obligations specifically provided for in par. 4.2.4(2a) of the Rulebook and as long as there is a risk of disturbance of the market or the orderly conduct of clearing or jeopardizing the investors’ interests as a result of the breach, HEnEx shall be entitled to impose, upon relevant notice to the Member, the following measures:

- a) Enforcement of special conditions or restrictions on the participation of the Member in the Market.
- b) Prohibition of participation of the Member’s Certified Trader in the Market trading sessions.
- c) Suspension of the Member’s right to participate in the Market trading sessions.

2. Immediate enforcement of measures against a Market Maker

In the event of a breach by a Market Maker of its market making obligations, HEnEx shall immediately notify the Market Maker of its breaches through the Trading System and shall be entitled to an automatic (force majeure) deduction of penalties as a consequence of the above breaches.

3. Validity

The herein decision is valid from 06/03/2020.

¹ Unofficial translation from the Greek language (Ref. HenEx: 509/06.03.2020), as of 06/03/2020. In case of any discrepancy between the Greek and the English version, the Greek version prevails.

The herein decision shall be uploaded to the website of HEnEx www.enexgroup.gr.